FORM PTO-1390 (REV. 5-93)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER: NIT-276

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

U.S. APPLICATION NO. (if known, see 37 CFR 1.5): 09/806913

International Application No.	International Filing Date	Priority Date Claimed
PCT/JP98/04560	October 9, 1998	

Title of Invention: CAPILLARY ELECTROPHORESIS SYSTEM, SAMPLE ANALYZING SYSTEM, AND SAMPLE CASSETTE

Applicant(s) for DO/EO/US: A. HIRABAYASHI et al (see attached)

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. [ ] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
3. [X] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. [X] A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
   a. [ ] is transmitted herewith (required only if not transmitted by the International Bureau).
   b. [X] has been transmitted by the International Bureau.
   c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US).
6. [X] A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. [ ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
   a. [ ] are transmitted herewith (required only if not transmitted by the International Bureau).
   b. [ ] have been transmitted by the International Bureau.
   c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
   d. [ ] have not been made and will not be made.
8. [ ] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. [ ] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. [X] An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. [ ] A FIRST preliminary amendment.
    [ ] A SECOND or SUBSEQUENT preliminary amendment.
14. [ ] A substitute specification.
15. [ ] A change of power of attorney and/or address letter.
16. [X] Other items or information:

[x] LIST OF INVENTORS' NAMES AND ADDRESSES.

JC02 Rec'd PCT/PTO 06 APR 2001

U.S. Application No. (If known, see 37 CFR 1.5)	International Application No	Attorney's Docket Number
09/806913	PCT/JP98/04560	NIT-276

17. [X] The following fees are submitted:	CALCULATIONS	PTO USE ONLY
Basic National Fee (37 CFR 1.492 (a)(1)-(5)):		
Search Report has been prepared by the EPO or JPO $860.00		
International preliminary examination fee paid to USPTO (37 CFR 1.482) $690.00		
No international preliminary examination fee (37 CFR 1.482) but international search fee paid to USPTO (37 CFR 1.445 (A)(2)) $710.00		
Neither international examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(A)(2)) paid to USPTO $1000.00		
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(2) to (4) $100.00		
ENTER APPROPRIATE BASIC FEE AMOUNT =	$ 860.00	
Surcharge of $130.00 for furnishing the oath or declaration later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(e)). +	$ 0.00	

Claims	Number Filed		Number Extra	Rate	
Total	19	-20 =	0	x $18.00	$ 0.00
Independent	8	- 3 =	5	x $80.00	$ 400.00
Multiple dependent claim(s) (if applicable)				+ $270.00	$ 0.00
TOTAL OF ABOVE CALCULATIONS =					$ 1,260.00
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).					$ 0.00
SUBTOTAL =					$ 1,260.00
Processing fee of $130.00 for furnishing the English translation later than [ ] 20 [ ] 30 months from the earliest claimed priority date (37 CFR 1.492(f)). +					$ 0.00
TOTAL NATIONAL FEE =					$ 1,260.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). $40.00 per property. +					$ 0.00
TOTAL FEES ENCLOSED =					$ 1,260.00
				Amount to be: Refunded	$
				Charged	$

a. [X] **A check in the amount of $** 1,260.00 **to cover the above fees is enclosed.**

b. [ ] **Please charge my Deposit Account No. 50-1417 in the amount of $** _____ **to cover the above fees. A duplicate copy of this sheet is enclosed.**

c. [X] **The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-1417. A duplicate copy of this sheet is enclosed.**

Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

MATTINGLY, STANGER & MALUR, P.C.
104 East Hume Avenue
Alexandria, Virginia 22301
(703) 684 -1120

24956
PATENT TRADEMARK OFFICE

Signature

John R. Mattingly
Name

30,293
Registration Number

NIT-276
NT0342US

Title of the Invention

CAPILLARY ELECTROPHORESIS SYSTEM, SAMPLE ANALYZING SYSTEM, AND SAMPLE CASSETTE

Inventors

Atsumu HIRABAYASHI,

Yukiko HIRABAYASHI,

Akihiko OKUMURA,

Hideaki KOIZUMI.

CAPILLARY ELECTROPHORESIS SYSTEM, SAMPLE ANALYZING SYSTEM AND SAMPLE CASSETTE

BACKGROUND OF THE INVENTION

Field of the Invention

The present invention relates to a capillary electrophoresis system, sample analyzing system and sample cassette, and more particularly to a capillary electrophoresis system suitable to be connected to a sample analyzing system such as a mass spectrometer.

Description of the Prior Art

A capillary electrophoresis system having fine passages defined in a fused-silica wafer is well known in the art as described in "Analytical Chemistry", vol. 65, pp. 2637 - 2642 (1993).

Passages cited in this paper are constituted of an inlet passage for introducing liquid sample and a passage for electrophoresis that is perpendicularly defined to the inlet passage. The size of cross-section of these passages may be approximately 12 micrometers in depth, 50 micrometers in width, and 30 millimeters in length.

At the ends of passages, electrodes are provided. Every passages are filled with buffer solution first and liquid sample is injected at one end of the sample inlet passage. Thereafter the liquid sample is introduced into the passage by applying

a voltage at the level of approximately few kilovolts between the electrodes placed at the both ends of sample inlet passages, whereby the introduction of liquid sample into the passage for electrophoresis completes. By applying a voltage of approximately few kilovolts to the electrodes placed at the both ends of the passages for electrophoresis the liquid sample introduced to the passage is separated by the electrophoresis phenomenon. The time required for the separation is approximately 10 seconds.

SUMMARY OF THE INVENTION

The capillary electrophoresis system of the Prior Art as have been described above has an advantage that it can separate one sample in a relatively short time. However, such a system needs to perform a cleaning procedure of the electrophoresis passages or to replace the fused-silica wafer with a new one. As a result, in order to perform a series of specimens sequentially, a considerable labor and time are required.

In addition, the capillary electrophoresis system of the Prior Art as have been described above may need to introduce the liquid sample directly onto the wafer. Since the sample inlet on the wafer is very fine and there are electrodes to which a high voltage is applied, the introduction of sample requires the operator to perform a complex work.

The present invention has been made in view of the above

circumstances and has an object to overcome the above problems.

An object of the present invention is to provide a capillary electrophoresis system and a sample analyzing system capable of analyzing a plurality of samples in a relatively short time.

Another object of the present invention is to provide a capillary electrophoresis system, which facilitates to clean the passages and has passages for repetitive use.

Still another object of the present invention is to provide a capillary electrophoresis system and a sample cassette for electrophoresis capable to carry a sample loaded therein.

To achieve the objects and in accordance with the purpose of the invention, as embodied and broadly described herein, this invention comprises a capillary electrophoresis system, having a wafery part having passages to flow liquid sample; and a body having a configuration so as to move the wafery part to setting in a relative manner. The body includes first and second electrodes for applying a voltage across both ends of passages of the wafery part to separate the sample solution and first and second buffer reservoirs conductive to the passages of the wafery part at a specific position for filling buffer solution around the first and second electrodes.

In addition, the present invention provides a capillary electrophoresis system, comprising: a cartridge-type wafery part including a plurality of passages, a body having a buffer reservoir conductive to the passages of wafery part, for maintaining the

wafery part in a removable and setting configuration.

In addition, in accordance with the present invention, during analysis, liquid sample will be loaded into the plurality of passages provided on the cartridge-type wafery part, then the wafery part is attached to the capillary electrophoresis system, then the liquid sample will be electrophoretically separated by relatively moving the wafery part having the liquid sample loaded with the capillary electrophoresis system to performing a separation of several kinds of materials in the liquid sample into bands.

As a result, separation of each sample, or separation for obtaining an electrophoresis migration time pattern of a single sample may be completed in a shorter time. Consequently a complex operation for introducing samples will be eliminated.

In addition, the present invention provides a liquid sample cassette for use in an electrophoresis separation comprising a wafery part having passages fulfilled with a solution, attached to a removable holder.

In addition, the present invention provides a sample analyzing system comprising a capillary electrophoresis system and an analyzer. The capillary electrophoresis system includes a wafery part having passages for flowing a solution, a body having a structure for relatively moving the wafery part in setting by maintaining the wafery part in a removable configuration. The body further comprises: first and second electrodes for

applying a voltage across the passages in the wafery part to perform an electrophoresis separation to extract the solution through an end; and first and second buffer solution reservoir conductive to the solution in the passages in the wafery part at a specific position for fulfilling buffer solution on and around both the first and second electrodes. The analyzer optically detects the solution having electrophoresis separation performed by the capillary electrophoresis system to analyze.

In addition, the present invention provides a sample analyzing system comprising a capillary electrophoresis system, an ion source, and a mass spectrometer. The capillary electrophoresis system includes a wafery part having passages for flowing a liquid solution, and a body having a structure suitable for maintaining the wafery part in a removable configuration and for relatively moving the wafery part in a setting configuration. The body includes first and second electrodes for applying a voltage across both ends of passages of the wafery part to extract a solution from one end by electrophoresis separation, and first and second buffer reservoirs conductive to the solution in the wafery part at a specific position, for fulfilling buffer solution on and around the first and second electrodes. The ion source is connected to one of buffer reservoirs in the capillary electrophoresis system to ionize the solution flowing through the wafery part into gaseous ions. The mass spectrometry performs mass analyses

of the ions produced by the ion source.

The above and further objects and novel features of the present invention more fully appear from following detailed description when the same is read in connection with the accompanying drawings. It is to be expressly understood, however, that the drawings are for the purpose of illustration only and not intended as a definition of the limits of the present invention.

BRIEF DESCRIPTION OF THE DRAWINGS

Fig. 1 is a schematic cross-sectional view in a direction of wafery part, illustrating a first embodiment of wafery part in accordance with the present invention;

Fig. 2A is a schematic cross-sectional view in the vertical direction before attaching the wafery part of the first embodiment of capillary electrophoresis system of the present invention into the system;

Fig. 2B is a schematic cross-sectional view in the vertical direction illustrating buffer reservoirs fulfilled with buffer solution and wafery part attached to the body in the first embodiment of capillary electrophoresis system in accordance with the present invention;

Fig. 3 is a top view of the first embodiment of capillary electrophoresis system in accordance with the present invention;

Fig. 4 is a top view showing a second embodiment of the

wafery part in accordance with the present invention;

Fig. 5 is a schematic cross-sectional view in the vertical direction illustrating a capillary electrophoresis system connected to an ion source of a mass spectrometer in accordance with the present invention;

Fig. 6 is an electrophoresis sample cassette with a wafery part attached in a removable holder in accordance with the present invention;

Fig. 7 is a top view of the second embodiment of capillary electrophoresis system in accordance with the present invention; and

Fig. 8 is a graph showing an electrophoresis pattern.

DETAILED DESCRIPTION OF THE PREFERRED EMBODIMENTS

A detailed description of one preferred embodiment embodying the present invention is now given referring to the accompanying drawings.

Now referring to Fig. 1, there is shown a schematic cross-sectional view in the direction of wafery part of first embodiment of a cartridge-type wafery part 1. The cartridge-type wafery part 1 may be formed from a dielectric material such as quartz glass or a resin.

On the wafery part 1 there are provided a plurality of passages 2 each having the same shape in their profile. The sectional area of the passages 2 may be equal to or less than

100 micrometers, and in a typical embodiment the passages 2 may be formed in a rectangle of the size of 10 micrometers by 60 micrometers.

It may be advantageous for producing a wafery part 1 having a plurality of passages 2 as have been described above by bonding a plate having channels with another plate. To process the channels a dry etching technology may be applicable. The wafery part may also be made by embedding a fused-silica capillary having inner diameter of less than 50 micrometers into channels.

As shown in Fig. 1, the passages 2 (or capillaries) are fulfilled with a liquid solution. Because of the fine cross-sectional area of passages 2 the solution is likely to be sucked therein due to the capillarity, so that the solution thereby will fill the passages 2 easily. However, if needed the solution may be loaded by means of a forced suction.

To perform a capillary electrophoresis system, buffer solution 22 (any desired buffer solution may be used, including PBS) are preloaded into the passages 2 (or capillaries). Then, a liquid sample 21 containing a variety of materials is loaded through one end of the passages 2 (or capillaries). In the liquid sample 21, charged particles (ionized particles) and neutralized molecules may be contained in general. Then, as shown in Fig. 2B and Fig. 3, a high voltage is applied across both ends of the passages 2 (capillaries) to effectuate an electrophoresis separation. In order to make a good electrical contact, the

electrodes 6 and 7 for applying the voltage are dipped into a conductive solution 23 such as the buffer solution as well as the terminus of capillary.

In Fig. 1, the liquid sample 21 is illustrated as liquid drops falling from the top, and the solution filled in the passages 2 is the buffer solution 22. In Fig. 2, the liquid sample 21 is in the leftmost part of the passages 2 and other part is filled with the buffer solution 22 and 23.

To fill the buffer solution 22 in the passages 2 (in the capillaries) of the wafery part 1, the capillarity phenomenon may be used. However, a syringe may also be used for pumping or sucking. Then the liquid sample 21 is to be loaded by making use of gravitational suction or electrophoresis suction.

The volume of the liquid sample 21 may differ depending on the operation technique for suction. For example, in the gravity method the flow rate of the liquid sample sucked may be precalculated, so that the volume of the liquid sample 21 can be determined by controlling the duration of suction time. In cases in accordance with the present invention, the use of gravity method and electrophoresis method has not a significant advantage when compared to the use of other methods. Indeed the suction by using a pump or a syringe may rather be convenient. In such a case the volume of the liquid sample 21 to be sucked can be measured by the syringe used to determine the volume of the sucked liquid sample 21. The cross-sectional area of the

passages 2 (or capillaries) is very fine and known, so that the liquid sample 21 contained in the capillary have approximately 1 millimeter in length. The volume of the sucked liquid sample 21 can be determined by measuring the length of either the buffer solution 22 or the liquid sample 21 in condition that the buffer solution 22 can be distinguished from the liquid sample 21.

As can be appreciated from the foregoing description, since the liquid sample 21 can be introduced in a easy way into a plurality of passages 2 (or capillaries) of the wafery part 1, as well as since the wafery part 1 can be detached from the capillary electrophoresis system device in order to rinse the passages 2, the wafery part 1 may be suitable for reuse.

Now referring to Fig. 2, there is shown a schematic cross-sectional view in the vertical direction of the first embodiment of the capillary electrophoresis system in accordance with the present invention. Fig. 2A is a schematic diagram immediately before the wafery part 1 is attached to the device 3, while Fig. 2B is the wafery part 1 attached to the device 3. As shown in Fig. 2A, the wafery part 1 having a fluid containing the liquid sample 21 and the buffer solution 22 introduced into a plurality of passages 2 being attached (mounted) to the device 3 of the capillary electrophoresis system as shown in Fig. 2B. The device 3 is made of a dielectric material such as a fused silica and a resin, as similar to the wafery part 1. On the device 3 there are provided a first buffer reservoir 4 and a

second buffer reservoir 5. As shown in Fig. 2B, the conductive solution 23 is poured into the first buffer reservoir 4 and the second buffer reservoir 5 after attaching the wafery part 1 to the device 3 in order to prevent any bubbles from being introduced into the passages 2 of the wafery part 1.

Each of the first buffer reservoir 4 and the second buffer reservoir 5 of the device 3 of capillary electrophoresis system have a first electrode 6 and a second electrode 7 respectively, through which electrodes a voltage will be applied through the first electrode 6 and the second electrode 7 to the conductive buffer solution 23 to ultimately the liquid sample 21 in the passages 2 (or capillaries) in order to perform an electrophoresis separation.

On or near the first electrode 6 and the second electrode 7 the buffer solution 23 may develop gases, which may form bubbles. When such bubbles may be in the passages 2 the electrophoresis will malfunction. Therefore a path 4a and a path 5a above the first electrode 6 and the second electrode 7 will be provided for respective the first buffer reservoir 4 and the second buffer reservoir 5, both of paths communicating respective reservoirs into the ambient air. The buffer solution 23 contained in the first buffer reservoir 4 and the second buffer reservoir 5 may be in direct contact with the ambient air so that through these paths 4a and 5a it will be capable of releasing bubbles developed in the fluid to the environment.

By monitoring the electrophoresis current the bubbles aberrant into the passages 2 can also be detected.

As shown in Fig. 2B, one ends of both the first electrode 6 and the second electrode 7 are in direct contact with the buffer solution 23. In order to protect the operator from electric shock, the terminus of the first electrode 6 and the second electrode 7 in contact with the buffer solution 23 may be shielded so as to minimize the exposed part toward the wafery part 1. This may help installing any wires and circuitry for the high voltage application beneath the wafery part 1.

On the other hand, the other terminus of the first electrode 6 and the second electrode 7 are in contact with the ambient air and will be applied with a voltage. The voltage application may be convenient in such a way that the first electrode 6 should be connected to a voltage potential HV while the second electrode 7 to the earth potential.

Since a voltage will be applied between the first electrode 6 and the second electrode 7, an electroosmosis flow will be developed therebetween to move the entire liquid sample 21 in the passages 2 toward the second electrode 7. At the same time the fluid will undergo with an electrophoresis separation, several kinds of materials which are contained in the liquid sample 21 will be separated into bands. More specifically, an electroosmosis flow makes move of the liquid sample 21 in the capillaries 2 at a certain rate of flow, and specimen materials

(charged particles or ions and neutral molecules and the like) contained in the liquid sample 21 to be moved are separated each from other by their mobility difference. As a result fluid containing isolated sample matter resides at some points along the capillaries 2, making several bands of sample material isolated from within the solution. After some times the bands isolated each from other reach to the very end of the capillaries 2, ultimately in sequence.

Sample molecules separated into bands as have been described above may be detected in an optical method, by using an optical microscope or a confocal microscope to video-record. More specifically, the optical detection methods include a method of labeling the sample molecules isolated into bands with fluorescent marker to visualize the sample material (isolated sample molecules) by means of fluorescent detection by laser excitation. This method is such that the fluorescence light emitted from the sample molecules isolated into bands is detected by a photomultiplier tube or a CCD (charge coupled device) camera. When the observation region obtained as an image by the CCD camera is vast, a plurality of capillaries (passages) 2 are observed simultaneously to enable optical analysis of the liquid sample.

A packing 8 is interposed between the wafery part 1 and the device 3 of the capillary electrophoresis system for the purpose of watertight seal. When the packing 8 is broken the buffer solution may flow out to the bottom of the wafery part

1. In such a case the first electrode 6 and the second electrode 7 will be conducted through the effluent buffer to make a short circuit. This problem may be avoided by providing a liquid reservoir 3a on the device 3.

Now referring to Fig. 3, there is shown an overview of the first embodiment of capillary electrophoresis system shown in Fig. 1 viewed from the topside. The wafery part 1 will be displaced in stepwise in the horizontal direction (direction of the drawing plane) by means of a stepping motor and the like. In the embodiment shown in Fig. 3, the wafery parts 1 are placed separated apart each from another at a regular interval. By moving one channel at a time by an even distance at every regular intervals, a voltage will be applied across the first electrode 6 and the second electrode 7 to form an electroosmosis flow in one capillary, so that the liquid sample 21 contained in one capillary (passages) 2 will be moved toward the second electrode 7, then at the same time to isolate by the electrophoresis several kinds of materials contained in the liquid sample 21 into bands. Those materials (sample molecules) isolated into bands may be analyzed in such a way as by optical analysis such as the fluorescence light detection or optical density (OD) measurement as have been described above, or by transforming into gaseous ions by the sonic splay ionization technique to be analyzed in a mass spectrometer installed in a vacuum chamber.

To achieve the analysis, in the structural aspect, the

first buffer reservoir 4 and the second buffer reservoir 5 are tailored to be smaller than the interval between passages 2 so as to communicate at each moving distance of the wafery part 1 to at most one passage 2.

In the temporal aspect, in the electrophoresis, an analytical session may last in approximately 20 seconds. Therefore the wafery part 1 may move every 20 seconds. To protect against electrical leak, it is preferable to abort the voltage application to the first electrode 6 and the second electrode 7 during moving the wafery part 1.

Now referring to Fig. 4, there is shown a schematic diagram illustrating the second embodiment of the wafery part 1 having passages 2 in accordance with the present invention. In the second preferred embodiment, the interval between passages 2 at the inlet of the liquid sample at the left side is larger than the interval of passages 2 at the right side. In other words the distance between passages 2 in the sample inlet at the left side is set to be 2 millimeters or more to facilitate the loading of liquid sample, while the distance between passages 2 of the detector side at the right hand is made smaller to facilitate the displacement of entire range by means of a precise position adjuster.

To assure the repeatability of electrophoresis, the shape of passages 2 will be tailored such that all passages 2 has the same length.

The volume of sample solution to be loaded into the passages 2 may be approximately 10 nanolitters, implicitly requiring a high sensitivity instrument for detecting the isolated matters, such as a mass spectrometer.

Now referring to Fig. 5, there is shown a schematic cross-sectional view in the vertical direction of a capillary electrophoresis system connected to an ion source of a mass spectrometer MS in accordance with the present invention. In this preferred embodiment, the matters in the liquid sample isolated by an electrophoresis assay, are transformed into gaseous ions by the sonic splay ionization technique to feed to the mass spectrometer MS installed in a vacuum chamber to analyze and determined the mass of ions. Ionization in the ion source 9 may be also effectuated by such methods as atmospheric pressure chemical ionization, electrospray ionization, and however these methods may restrict the composition of buffer solution. More specifically, in another ionization such as electrospray ionization method, ions cannot be generated in a stable manner from a solution having a high electric conductivity such as the PBS.

The analyzer for analyzing several kinds of material (sample molecules) to be isolated may be a spectrofluorometer, optical density spectrometer, and electrode spectrometry rather than the mass spectrometry MS.

In the system shown in Fig. 5, the mixture (a liquid sample

containing several matters) can be isolated and analyzed rapidly. In the ion source 9 for transforming the liquid sample into gaseous ions, the liquid sample 21 containing several matters (sample molecules) isolated by the electrophoresis is sprayed with a sonic gas flow (the sonic splay ionization). The detailed discussion on the sonic splay ionization can be seen in the "Trends in Analytical Chemistry", Vol. 16, pp. 45 - 52 (1997).

As shown in Fig. 5, the structure of the ion source 9 is just like a nebulizer. The liquid sample isolated by the electrophoresis by the electroosmosis flow will be loaded into the silica capillary 10 having an inner diameter of approximately 50 micrometers. One end of capillary 10 is inserted into an orifice 11 of the ion source 9.

Nitrogen gas is introduced from the above into the ion source 9 to release the gas to the environment through the orifice 11 at the sonic speed. The sample solution loaded into the capillary 10 is ionized by the sonic gas flow. The gaseous ions generated in the ambient air are captured into the vacuum chamber to perform a mass analysis by means of a mass spectrometer MS not shown in the figure but installed in the vacuum chamber.

The solution loaded in the capillary 10 is sucked by the sonic gas flow. The flow rate of sucked flow may be higher than the flow rate of electroosmosis flow in the passages 2. Therefore, to avoid this, the ends of the passages 2 are placed at a very narrow distance to the capillary 10 of one millimeter or less

so as not to directly connect to the capillary 10. In this arrangement the exact amount required of buffer solution in the second buffer reservoir 5 is sucked into the capillary 10 while preventing the liquid sample 21 in the passages 2 from being spilled out in order not to affect the electrophoresis via one end of the passages 2.

In the above configuration, the center axis of outlet passages 2 and the inlet capillary 10 need to be aligned as precise as possible. If there is a significant offset therebetween part of solution spilled from the passages 2 may not be directed into the capillary 10 to cause a problem of sensitivity of detection and a repeatability.

The voltage to be applied in the system shown in Fig. 5 will be such that the potential of the second electrode 7 is set to the ground potential while a high voltage HV of approximately 3 kilovolts for electrophoresis is applied to the first electrode 6. In addition if another voltage HVI of approximately 1.2 kilovolts is applied to the ion source 9, a large quantity of ions comprised of the materials in the sample solution charged to a potential opposite to the polarity of the voltage will be generated. The polarity of the voltage applied to the ion source 9 can be selectable depending on the ion potential of the materials to be detected.

The wafery part 1 may also be used for the purpose of sample introduction by introducing only the liquid sample 21 into the

passages 2 (capillaries) of the wafery part 1. In this case, the buffer solution does not be introduced to the wafery part 1.

Now referring to Fig. 6, there is shown a schematic diagram in which the wafery part 1 for capillary electrophoresis system in accordance with the present invention is removably attached to a sample holder 11. In a combination of capillary electrophoresis with a mass spectrometry (CE/MS) as shown in Fig. 5, the installation may become large. Therefore the liquid sample 21 may be conveniently prepared to introduce into the passages 2 (capillaries) of the wafery part 1 so as to carry wafery part 1 attached to a sample holder 11. More specifically, the wafery part 1 having passages 2 (capillaries) having liquid sample 21 introduced for the capillary electrophoresis system in accordance with the present invention can be removably attached to a sample holder 11. The solution 21 and 22 in the very fine structure being loaded in the passages 2 (capillaries) of the wafery part 1 to be removably attached to the sample holder 11 are unlikely to be intermixed so that there is not a problem of transportation for a while. By watertightly sealing the liquid sample 21 and 22 in the sample holder 11 the evaporation of samples 21 and 22 can be prevented.

The tolerable time to load the sample into the capillary electrophoresis system device 3 can be prolongated by freezing the wafery part 1 together with the sample solution 21 loaded

in the sample holder 11.

The sample holder 11 may be preferable made of a fluoroplastic resin, which may absorb scarcely matters.

As can be appreciated from the foregoing description, as shown in Fig. 6, a sample cassette for electrophoresis separation that houses the sample holder 11 in which is removably attached (inserted) the wafery part 1 having passages 2 (capillaries) containing the liquid sample solution 21 allows carrying samples to the capillary electrophoresis system device 3.

Now referring to Fig. 7, there is shown a plan view of a second embodiment of the capillary electrophoresis system suitable for the separation of one sample solution in accordance with the present invention. In the second preferred embodiment, the liquid sample 21 in a plurality of passages 2 can be electrophoresis separated because the first buffer reservoir 4 and the second buffer reservoir 5 are enlarged. More specifically, the solution 21 and 22 in a plurality of passages 2 (capillaries) are applied with a voltage, so that the liquid sample 21 in the passages 2 (capillaries) can be electrophoresis separated. The starting time of separation of respective liquid sample 21 in each of the passages 2 can be shifted in accordance with moving in either a continuous or a stepwise manner the wafery part 1 and shifting the timing of applying the voltage thereto to shift the starting time of electrophoresis. More specifically, as a passage (capillary) 2a is to be first applied with a voltage,

the sample solution 21 in the passage (capillary) 2a can be first electrophoresis separated. Then followed by the capillary 2a, a voltage is applied to another passage (capillary) 2b, so that the liquid sample 21 in the capillary 2b can be second electrophoresis separated. In this manner, stepwise or continuous movement of the wafery part 1 may cause the starting time of electrophoresis to be delayed by shifting the applying timing of voltage to allow the starting time of electrophoresis for each of liquid samples 21 in the passages 2 to be shifted each other.

Now referring to Fig. 8 there is an exemplary graph illustrating an electrophoresis result obtained by the separation of one single liquid sample 21 as shown in Fig. 7. The ordinate (y-axis) indicates the absorbance of the solution separated, and the abscissa indicates the migration time. Peaks in Fig. 8 denote to the presence of matters. Before approximately 2 seconds from the start of electrophoresis, there is not appeared a peak. This indicates that the 2 seconds at the start of electrophoresis has no significance in observation. Furthermore, when analyzing the concentration of a specific matter, it is sufficient to detect the peak of that matter only when that peak appears in the electrophoresis pattern. Any other observation may be useless. Therefore, it is convenient to shift the starting time of electrophoresis separation of the liquid sample 21 as shown in Fig. 5 if a number of samples 21 need to

be analyzed in a period of time as short as possible. In addition, if it is sufficient to detect only one specific matter, the analysis can be completed at the speed of one sample per second since the time required for analyzing one liquid sample is approximately 1 second.

In accordance with the capillary electrophoresis system of the present invention, a plurality of samples may be completed to be analyzed in a shorter time.

Since the liquid sample 21 is introduced into a cartridge-type wafery part 1, the wafery part 1 can be carried with the sample loaded therein. The wafery part 1 may be rinsed easily and can be iteratively reused.

The foregoing description of the preferred embodiments of the invention has been presented for purposes of illustration and description thereof. It is not intended to be exhaustive or to limit the invention to the precise form disclosed, and modifications and variations are possible in light of the above teachings or may be acquired from practice of the invention. The embodiments chosen and described herein in order to explain the principles of the invention and its practical application to enable one skilled in the art to utilize the invention in various embodiments and with various modifications as are suited to the particular use contemplated. It is intended that the scope of the invention be defined by the claims appended hereto, and their equivalents.

WHAT IS CLAIMED IS:

1. A capillary electrophoresis system, comprising:

a wafery part having passages for introducing sample solutions; and

a body having a configuration suitable to removably hold and to move said wafery part attached in a relative manner, wherein said body includes,

first and second electrodes for applying a voltage between both ends of passages of said wafery part to separate and take out said sample solution from one end, and

first and second buffer reservoirs conductive to said passages of said wafery part at a specific position for filling buffer solution around said first and second electrodes.

2. A capillary electrophoresis system according to claim 1, wherein said wafery part is made of a dielectric material.

3. A capillary electrophoresis system according to claim 1, wherein said wafery part is interchangeable with said passage filled with a solution.

4. A capillary electrophoresis system according to claim 1, wherein a plurality of said passages are provided in said wafery part.

5. A capillary electrophoresis system according to claim 4, wherein said passages are formed in said wafery part at an even interval at least in an end of passages.

6. A capillary electrophoresis system according to claim

4, wherein there is difference of starting time of flowing the solutions filled in said plurality of passages formed in said wafery part into either said first or second buffer solution reservoir.

7. A capillary electrophoresis system according to claim 4, wherein solutions filled in said plurality of passages formed in said wafery part sequentially flow into either said first or second buffer solution reservoir by displacing in a relative manner said wafery part with respect to said body.

8. A capillary electrophoresis system according to claim 1, wherein at least part of said wafery part is formed of a dielectric material.

9. A capillary electrophoresis system, comprising:

a wafery part having passages filled with a buffer solution for introducing sample solutions together; and

a body having a configuration suitable to removably hold and to move said wafery part attached in a relative manner, wherein said body includes:

first and second electrodes for applying a voltage between both ends of passages of said wafery part to separate and take out said sample solution; and

first and second buffer reservoirs conductive to said passages of said wafery part at a specific position for filling buffer solution around said first and second electrodes.

10. A capillary electrophoresis system according to claim

9, wherein said wafery part is made of a dielectric material.

11. A capillary electrophoresis system according to claim 9, wherein said wafery part is interchangeable with said passage filled with liquid sample and buffer solution.

12. A capillary electrophoresis system according to claim 9, wherein a plurality of said passages are provided in said wafery part.

13. A capillary electrophoresis system according to claim 12, wherein said passages are formed in said wafery part at an even interval at least in an end of passages.

14. A sample cassette for electrophoresis separation, comprising:

a carriable holder in which is inserted a wafery part having passages filled with a solution.

15. A sample analyzing system comprising:

a capillary electrophoresis system having a wafery part having passages for introducing a sample solution, and a body having a configuration suitable to removably hold and to move said wafery part attached in a relative manner, in which said body includes first and second electrodes for applying a voltage across both ends of passages of said wafery part to separate and take out said sample solution, and first and second buffer reservoirs conductive to said passages of said wafery part at a specific position for filling buffer solution around said first and second electrodes; and

an analyzer for optically detecting and analyzing the solution having electrophoresis separated by said capillary electrophoresis system.

16. A sample analyzing system comprising:

a capillary electrophoresis system having a wafery part having passages filled with buffer solution for introducing a sample solution, and a body having a configuration suitable to removably hold and to move said wafery part attached in a relative manner, in which said body includes first and second electrodes for applying a voltage across both ends of passages of said wafery part to separate and take out said sample solution, and first and second buffer reservoirs conductive to said passages of said wafery part at a specific position for filling buffer solution around said first and second electrodes; and

an analyzer for optically detecting and analyzing the solution having electrophoresis separated by said capillary electrophoresis system.

17. A sample analyzing system comprising:

a capillary electrophoresis system having a wafery part having passages for introducing a sample solution, and a body having a configuration suitable to removably hold and to move said wafery part attached in a relative manner, in which said body includes first and second electrodes for applying a voltage across both ends of passages of said wafery part to separate and take out said sample solution, and first and second buffer

reservoirs conductive to said passages of said wafery part at a specific position for filling buffer solution around said first and second electrodes;

an ion source connected to one of said buffer reservoirs of said capillary electrophoresis system for ionizing the solution spilled from said wafery part into gaseous ions; and

a mass spectrometer for performing mass analysis of the ions emitted from said ion source.

18. A sample analyzing system comprising:

a capillary electrophoresis system having a wafery part having passages for introducing a sample solution, and a body having a configuration suitable to removably hold and to move said wafery part attached in a relative manner, in which said body includes first and second electrodes for applying a voltage across both ends of passages of said wafery part to separate and take out said sample solution, and first and second buffer reservoirs conductive to said passages of said wafery part at a specific position for filling buffer solution around said first and second electrodes;

an ion source connected to one of said buffer reservoirs of said capillary electrophoresis system for ionizing the liquid sample solution isolated by electrophoresis from said wafery part into gaseous ions; and

a mass spectrometer for performing mass analysis of the ions emitted from said ion source.

19. A sample analyzing system comprising:

a capillary electrophoresis system having a wafery part having passages filled with buffer solution for introducing a sample solution, and a body having a configuration suitable to removably hold and to move said wafery part attached in a relative manner, in which said body includes first and second electrodes for applying a voltage across both ends of passages of said wafery part to separate and take out said sample solution, and first and second buffer reservoirs conductive to said passages of said wafery part at a specific position for filling buffer solution around said first and second electrodes;

an ion source connected to one of said buffer reservoirs of said capillary electrophoresis system for ionizing the solution spilled from said wafery part into gaseous ions; and

a mass spectrometer for performing mass analysis of the ions emitted from said ion source.

ABSTRACT OF THE DISCLOSURE

The present invention provides a capillary electrophoresis system comprising: a wafery part having passages filled with buffer solution for introducing sample solutions; a body having a configuration suitable to removably hold and to move said wafery part attached in a relative manner, wherein said body includes: first and second electrodes for applying a voltage across both ends of passages of said wafery part to separate and take out said sample solution; and first and second buffer reservoirs conductive to said passages of said wafery part at a specific position for filling buffer solution around said first and second electrodes. The system in accordance with the present invention facilitate washing of electrophoresis passages and allows the time and labor cost required for replacement of the fused-silica wafers. The system also simplifies the operation of sample introducing to allow a plurality of sample solutions to be analyzed in a shorter time.

FIG. 1

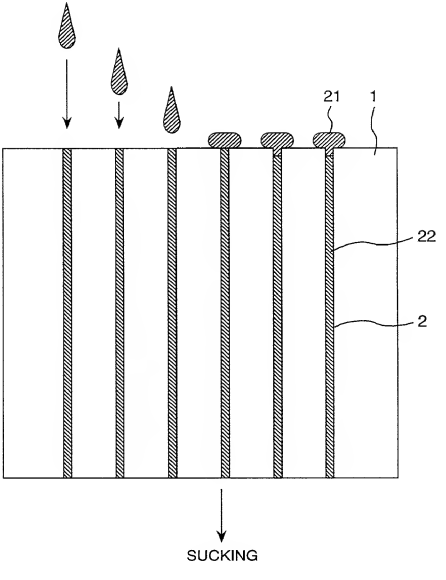


FIG. 2A

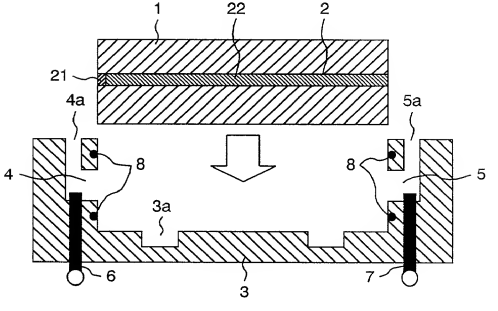


FIG. 2B

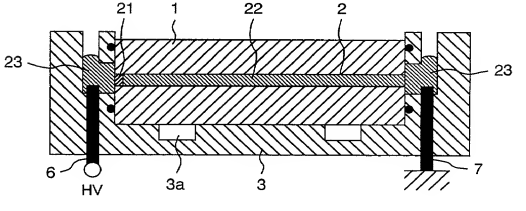


FIG. 3

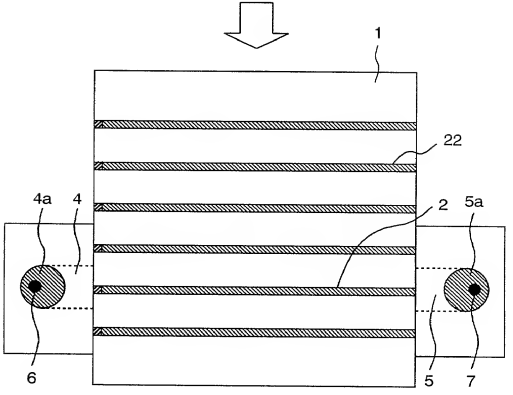


FIG. 4

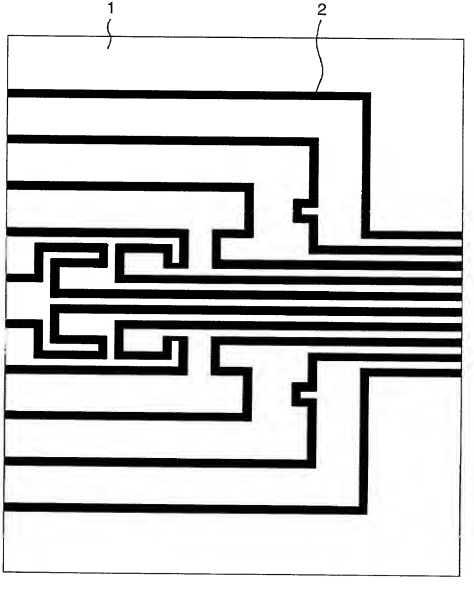


FIG. 5

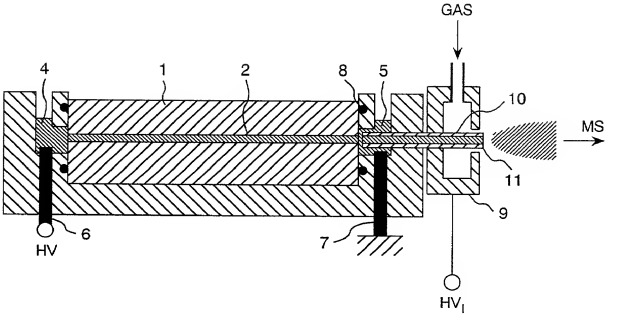


FIG. 6

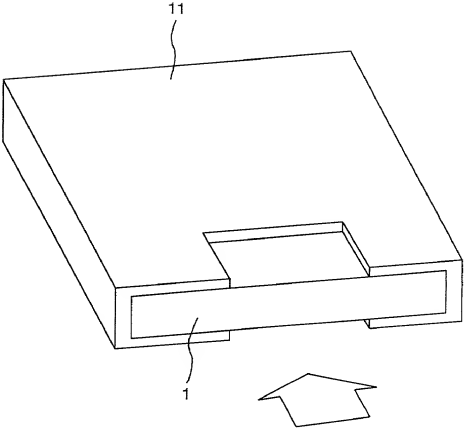


FIG. 7

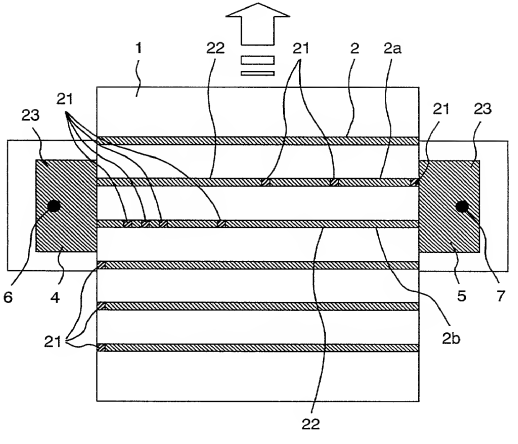
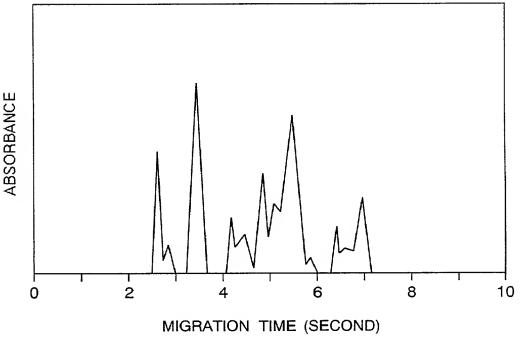


FIG. 8



NT05422US

PTO/SB/105(8-96)
Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Declaration and Power of Attorney For Patent Application

特許出願宣言書及び委任状

Japanese Language Declaration

日本語宣言書

下記の氏名の発明者として、私は以下の通り宣言します。

As a below named inventor, I hereby declare that:

私の住所、私書箱、国籍は下記の私の氏名の後に記載された通りです。

My residence, post office address and citizenship are as stated next to my name.

下記の名称の発明に関して請求範囲に記載され、特許出願している発明内容について、私が最初かつ唯一の発明者（下記の氏名が一つの場合）もしくは最初かつ共同発明者であると（下記の名称が複数の場合）信じています。

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled

CAPILLARY ELECTROPHORESIS SYSTEM, SAMPLE ANALYZING SYSTEM, AND SAMPLE CASSETTE

上記発明の明細書（下記の欄で×印がついていない場合は、本書に添付）は、

The specification of which is attached hereto unless the following box is checked:

☐ __月__日に提出され、米国出願番号または特許協定条約国際出願番号を_____とし、
(該当する場合) _____に訂正されました。

☒ was filed on October 9, 1998
as United States Application Number or
PCT International Application Number
PCT/JP98/04560 and was amended on
_____ (if applicable).

私は、特許請求範囲を含む上記訂正後の明細書を検討し、内容を理解していることをここに表明します。

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

私は、連邦規則法典第３７編第１条５６項に定義されるとおり、特許資格の有無について重要な情報を開示する義務があることを認めます。

I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56.

PTO/SB/106(8-96)
Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration
（日本語宣言書）

私は、米国法典第３５編１１９条 (a) - (d) 項又は３６５条 (b) 項に基き下記の、 米国以外の国の少なくとも一カ国を指定している特許協力条約３６５ (a) 項に基ずく国際出願、又は外国での特許出願もしくは発明者証の出願についての外国優先権をここに主張するとともに、優先権を主張している、本出願の前に出願された特許または発明者証の外国出願を以下に、枠内をマークすることで、示しています。

I hereby claim foreign priority under Title 35, United States Code, Section 119 (a)-(d) or 365(b) of any foreign application(s) for patent or inventor's certificate, or 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)
外国での先行出願

Priority Not Claimed
優先権主張なし

(Number) (番号)	(Country) (国名)	(Day/Month/Year Filed) (出願年月日)	☐
(Number) (番号)	(Country) (国名)	(Day/Month/Year Filed) (出願年月日)	☐

私は、第３５編米国法典１１９条 (e) 項に基いて下記の米国特許出願規定に記載された権利をここに主張いたします。

I hereby claim the benefit under Title 35, United States Code, Section 119(e) of any United States provisional application(s) listed below.

(Application No.) (出願番号)	(Filing Date) (出願日)	(Application No.) (出願番号)	(Filing Date) (出願日)

私は、下記の米国法典第３５編１２０条に基いて下記の米国特許出願に記載された権利、又は米国を指定している特許協力条約３６５条 (c) に基ずく権利をここに主張します。また、本出願の各請求範囲の内容が米国法典第３５編１１２条第１項又は特許協力条約で規定された方法で先行する米国特許出願に開示されていない限り、その先行米国出願書提出日以降で本出願書の日本国内または特許協力条約国際提出日までの期間中に入手された、連邦規則法典第３７編１条５６項で定義された特許資格の有無に関する重要な情報について開示義務があることを認識しています。

I hereby claim the benefit under Title 35, United States Code, Section 120 of any United States application(s), or 365(c) of any PCT international application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code Section 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, Section 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of application.

(Application No.) (出願番号)	(Filing Date) (出願日)	(Status: Patented, Pending, Abandoned) (現況：特許許可済、係属中、放棄済)
(Application No.) (出願番号)	(Filing Date) (出願日)	(Status: Patented, Pending, Abandoned) (現況：特許許可済、係属中、放棄済)

私は、私自身の知識に基ずいて本宣言書中で私が行なう表明が真実であり、かつ私の入手した情報と私の信じるところに基ずく表明が全て真実であると信じていること、さらに故意になされた虚偽の表明及びそれと同等の行為は米国法典第１８編第１００１条に基ずき、罰金または拘禁、もしくはその両方により処罰されること、そしてそのような故意による虚偽の声明を行なえば、出願した、又は既に許可された特許の有効性が失われることを認識し、よってここに上記のごとく宣誓を致します。

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

PTO/SB/106(8-96) (Modulated spacing)
Approved for use through 9/30/98. OMB 0651-0032
Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

Japanese Language Declaration
(日本語宣言書)

委任状： 私は下記の発明者として、本出願に関する一切の手続きを米特許商標局に対して遂行する弁理士または代理人として、下記の者を指名いたします。（弁護士、または代理人の氏名及び登録番号を明記のこと）

POWER OF ATTORNEY: As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected therewith (*list name and registration number*)

(16)

John R. Mattingly, Reg. No.30,293;
Daniel J. Stanger, Reg. No.32,846;
Shrinath Malur, Reg. No.34,663;
Gene W. Stockman, Reg. No.21,021;
Jeffrey M. Ketchum, Reg. No.31,174;
Scott W. Brickner, Reg.No.34553;

書類送付先

Send Correspondence to:

MATTINGLY, STANGER & MALUR, P. C.
104 East Hume Avenue
Alexandria, Virginia 22301

直接電話連絡先： (名前及び電話番号)

Direct Telephone Calls to: (*name and telephone number*)

Telephone: (703) 684-1120
Fax: (703) 684-1157

唯一または第一発明者名	1-00	**Full name of sole or first inventor** Atsumu HIRABAYASHI
発明者の署名	日付	**Inventor's signature** Atsumu Hirabayashi **Date** 3/12/2001
住所		**Residence** Kodaira, Japan JPX
国籍		**Citizenship** Japan
私書箱		**Post Office Address** c/o Hitachi, Ltd., Intellectual Property Group New Marunouchi Bldg. 5-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8220, Japan

(第二以降の共同発明者についても同様に記載し、署名をすること)

(Supply similar information and signature for second and subsequent joint inventors.)

第二共同発明者名	200	**Full name of second joint inventor, if any** Yukiko HIRABAYASHI
第二共同発明者の署名	日付	**Second inventor's signature** **Date** Yukiko Hirabayashi 3/14/2001
住所		**Residence** Kokubunji, Japan JPX
国籍		**Citizenship** Japan
私書箱		**Post Office Address** c/o Hitachi, Ltd., Intellectual Property Group New Marunouchi Bldg. 5-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8220, Japan
第三共同発明者名	300	**Full name of third joint inventor, if any** Akihiko OKUMURA
第三共同発明者の署名	日付	**Third inventor's signature** **Date** Akihiko Okumura 3/13/2001
住所		**Residence** Hachioji, Japan JPX
国籍		**Citizenship** Japan
私書箱		**Post Office Address** c/o Hitachi, Ltd., Intellectual Property Group New Marunouchi Bldg. 5-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8220, Japan
第四共同発明者名	HOO	**Full name of fourth joint inventor, if any** Hideaki KOIZUMI
第四共同発明者の署名	日付	**Fourth inventor's signature** **Date** Hideaki Koizumi 3/15/2001
住所		**Residence** Tokyo, Japan JPX
国籍		**Citizenship** Japan
私書箱		**Post Office Address** c/o Hitachi, Ltd., Intellectual Property Group New Marunouchi Bldg. 5-1, Marunouchi 1-chome, Chiyoda-ku, Tokyo 100-8220, Japan
第五共同発明者名		**Full name of fifth joint inventor, if any**
第五共同発明者の署名	日付	**Fifth inventor's signature** **Date**
住所		**Residence**
国籍		**Citizenship**
私書箱		**Post Office Address**

NIT-276
NT0342US

LIST OF INVENTORS' NAMES AND ADDRESSES

Atsumu HIRABAYASHI, Kodaira, JAPAN;
Yukiko HIRABAYASHI, Kokubunji, JAPAN;
Akihiko OKUMURA, Hachioji, JAPAN;
Hideaki KOIZUMI, Tokyo, JAPAN.